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FAX

Date: June 15, 2004

Pages (including cover): 12

To: Office of Petitions

Fax: 703-872-9306

Ph:

From: Donald R. Studebaker #32,815

Docket No. 740819-1015

Message:

The following documents are being presented for facsimile filing in the
United States Patent and Trademark Office:

1. Transmittal
2. Fee Transmittal
3. Petition under 37 C.F.R. 1.182 to Correct Order of Listed Inventors
with Exh. 1: Copy of executed Declaration filed July 25, 2003 and Exh. 2:
Copy of Official Filing Receipt showing marked changes.
4. Permission to charge Deposit Account #19-2380 in the amount of \$130.00,
representing the Petition processing fee.

In re Patent Application of:

Inventor(s): Yoshihisa KATO et al.

Serial No.: 10/626,722

Filed: July 25, 2003

For: SEMICONDUCTOR MEMORY AND METHOD FOR DRIVING THE SAME

Due Date: N/A

CERTIFICATE OF FACSIMILE TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being facsimile transmitted to the USPTO at
703-872-9306, on June 15, 2004.

Signature: 

Name: Peaches Thomas

cc: File

Docketing Department
Accounting

Please type a plus sign (+) inside this box → [+]

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

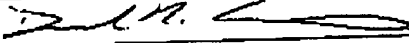
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/626,722	
	Filing Date	July 25, 2003	
	First Named Inventor	Yoshihisa KATO et al.	
	Group Art Unit	2818	
	Examiner Name	Van Thu T. Nguyen	
Total Number of Pages in This Submission	11	Attorney Docket Number	740819-1015

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Other Petition Under 37 C.F.R. 1.182 to Correct Order of Listed Inventors with Exh. 1: Copy of executed Declaration filed July 25, 2003 and Exh. 2: Copy of Official Filing Receipt showing marked changes.
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

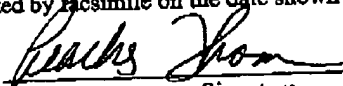
Firm or Individual name	Mr. Donald R. Studebaker, Reg. No. 32,815 Nixon Peabody LLP 401 9 th Street, N.W. Suite 900 Washington, D.C. 20004-2128
Signature	
Date	June 15, 2004

CERTIFICATE OF MAILING**CERTIFICATE OF FACSIMILE TRANSMISSION [37 CFR 1.8(a)]**

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June 15, 2004

Date



Signature

Peaches Thomas

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NVA298294.1

FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **\$130.00**

Complete (if known)

Application Number 10/626,722
Filing Date July 25, 2003
First Named Inventor Yoshihisa KATO et al.
Examiner Name Van Thu T. Nguyen
Art Unit 2818
Attorney Docket No. 740819-1015

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 19-2380 (740819-1015)

Deposit Account Name Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s)

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FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) \$0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 0 -20** = 0 X 0 = 0
Independent Claims 0 -3** = 0 X 0 = 0
Multiple Dependent X 0 = 0

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

** or number previously paid, if greater. For Reissue, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	465	Extension for reply within third month
1254	1,450	2254	725	Extension for reply within fourth month
1255	1,970	2255	985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,300	2453	650	Petition to revive - unintentional
1501	1,300	2501	650	Utility issue fee (or reissue)
1502	470	2502	235	Design issue fee
1503	630	2503	315	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))
1801	750	2801	375	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify) Petition under 37 C.F.R. 1.182 to correct order of listed inventors

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$130.00

\$130.00

Certificate of Mailing or Transmission (37 CFR 1.8(a))

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Signature: _____

Name: _____

SUBMITTED BY

Name (Print/Type)

Donald R. Studebaker

Registration No. 32,815
(Attorney/Agent)

Complete (if applicable)

Telephone 202-585-8000

Date June 15, 2004

Signature

Docket No. 740819-1015

Serial No. 10/626,722

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yoshihisa KATO et al.

Serial No. 10/626,722

Filed: July 25, 2003

For: SEMICONDUCTOR MEMORY AND
METHOD FOR DRIVING THE SAME

)

) Group Art Unit: 2818

) Examiner: Van Thu T. Nguyen

) Confirmation No. 2366

) Date: June 15, 2004

)

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CERTIFICATE OF FACSIMILE TRANSMISSION [37 CFR 1.8(a)]

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the USPTO at 703-472-9306, on June 15, 2004

Signature:

Name:

Peaches Thomas

PETITION UNDER 37 C.F.R. 1.182
TO CORRECT ORDER OF LISTED INVENTORSMail Stop **OFFICE OF PETITIONS**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. §1.182, Applicant hereby petition to correct the original listing of inventors as set forth in the attached copied executed Declaration filed July 25, 2003, with the initial application. (See Ex. 1.) Specifically, the inventorship in the above-identified application is being corrected to change the order of inventors. Please change the order of inventorship as follows:

First Inventor: Yasuhiro Shimada, Kyoto, JAPAN

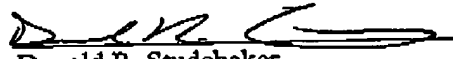
Second Inventor: Yoshihisa Kato, Shiga, JAPAN

Please issue a corrected filing receipt showing the change to the order of inventors as marked on the attached filing receipt under heading "Applicant(s)." (See Ex. 2.)

Please charge Deposit Account No. 19-2380 in the amount of \$130.00, representing the petition processing fee.

Should a conference be of benefit in expediting this Petition, please telephone counsel to arrange such a conference.

Respectfully submitted,



Donald R. Studebaker
Reg. No. 32,815

Nixon Peabody LLP
401 9th Street N.W.
Suite 900
Washington, D. C. 20004
(202) 585-8000

EXHIBIT 1

COPY

- 1 -

DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION

Attorney Docket No. _____

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the invention entitled: SEMICONDUCTOR MEMORY AND METHOD FOR DRIVING THE SAME the specification of which is attached hereto unless the following box is checked:

☐ The specification was filed on _____
and was assigned Serial No. _____ (if known)
and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as follows:

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and checked at right:

Prior Foreign Application(s)			Priority Claimed	
(Number)	(Country)	(Month/Day/Year Filed)	Yes	No
2000-223405	Japan	07/25/2000	X	

All foreign applications, if any, for any Patent or Inventor's Certificate filed more than 12 months prior to the filing date of this application:

Country	Application No.	Date of Filing (Month/Day/Year)

- 2 -

I hereby claim the benefit under Title 35, United States Code, § 119() or § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status: patented, pending, abandoned

I hereby appoint the following attorneys to prosecute this application and/or any international application and to transact all business in the Patent and Trademark Office connected therewith:

Daniel W. Sixbey, (Reg. No. 20,932)
 Charles M. Leedom, Jr. (Reg. No. 26,477)
 David S. Safran (Reg. No. 27,997)
 Donald R. Studebaker (Reg. No. 32,815)
 Tim L. Brackett (Reg. No. 36,092)
 Robert M. Schulman (Reg. No. 31,196)

Stuart J. Friedman (Reg. No. 24,312)
 Gerald J. Ferguson, Jr. (Reg. No. 23,016)
 Thomas W. Cole (Reg. No. 28,290)
 Jeffrey L. Costellia (Reg. No. 35,483)
 Eric J. Robinson (Reg. No. 38,285)
 Thomas M. Blasey (Reg. No. 33,475)

Send Correspondence to: Eric J. Robinson
 Nixon Peabody LLP
 8180 Greensboro Drive, Suite 800
 McLean, Virginia 22102
 Telephone: (703) 790-9110

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorize any U.S. attorney or agent named herein to accept and follow instructions from Maeda Patent Office as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys or agents named herein will be so notified by the undersigned.

FULL NAME OF SOLE OR FIRST INVENTOR Yoshihisa KATO	INVENTOR'S SIGNATURE <i>Yoshihisa Kato</i>	DATE June 28, 2001
RESIDENCE (City, State & Country) Shiga, Japan		CITIZENSHIP Japan
POST OFFICE ADDRESS (Complete Address including City, State & Country) 1-27-3, Oginosato, Otsu-shi, Shiga 520-0246, Japan		

- 3 -

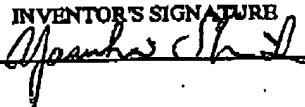
FULL NAME OF SECOND JOINT INVENTOR (if any) Yasuhiko SHIMADA		INVENTOR'S SIGNATURE 	DATE June 28, 2001
RESIDENCE (City, State & Country) Kyoto, Japan		CITIZENSHIP Japan	
POST OFFICE ADDRESS (Complete Address including City, State & Country) 10-1-410, Minamikakiuchi, Terado-cho, Muko-shi, Kyoto 617-0002, Japan			
FULL NAME OF THIRD JOINT INVENTOR (if any)		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City, State & Country)		CITIZENSHIP	
POST OFFICE ADDRESS (Complete Address including City, State & Country)			
FULL NAME OF FOURTH JOINT INVENTOR (if any)		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City, State & Country)		CITIZENSHIP	
POST OFFICE ADDRESS (Complete Address including City, State & Country)			
FULL NAME OF FIFTH JOINT INVENTOR (if any)		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City, State & Country)		CITIZENSHIP	
POST OFFICE ADDRESS (Complete Address including City, State & Country)			
FULL NAME OF SIXTH JOINT INVENTOR (if any)		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City, State & Country)		CITIZENSHIP	
POST OFFICE ADDRESS (Complete Address including City, State & Country)			
FULL NAME OF SEVENTH JOINT INVENTOR (if any)		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City, State & Country)		CITIZENSHIP	
POST OFFICE ADDRESS (Complete Address including City, State & Country)			
FULL NAME OF EIGHTH JOINT INVENTOR (if any)		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City, State & Country)		CITIZENSHIP	
POST OFFICE ADDRESS (Complete Address including City, State & Country)			

EXHIBIT 2

COPY

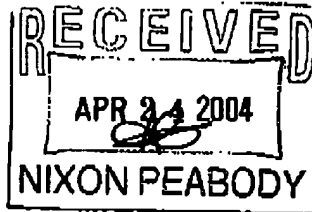


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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/626,722	07/25/2003	2818	1002	740819-1015	6	9	6

CONFIRMATION NO. 2366

22204
NIXON PEABODY, LLP
401 9TH STREET, NW
SUITE 900
WASHINGTON, DC 20004-2128

FILING RECEIPT



OC000000012418482

Date Mailed: 04/22/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Delete
(Yoshihisa Kato, Shiga, JAPAN;
Yasuhiro Shimada, Kyoto, JAPAN;)

*change to - Yasuhiro Shimada, Kyoto, JAPAN
= Yoshihisa Kato, Shiga, Japan*

Assignment For Published Patent Application

Matsushita Electric Industrial Co., Ltd., Osaka, JAPAN;

Domestic Priority data as claimed by applicant

This application is a DIV of 09/905,893 07/17/2001 PAT 6,614,678

Foreign Applications

JAPAN 2000-223405 07/25/2000

If Required, Foreign Filing License Granted: 04/21/2004

Projected Publication Date: 07/29/2004

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor memory and method for driving the same

Preliminary Class

365

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).